

# Impact of Predicted Body Weight, actual weight, temperature, and patient category for initial ventilator settings

To calculate the tidal volume, it is necessary to first calculate the predicted body weight since lung size does not depend on the actual weight, but on height and gender<sup>1</sup>. It is recommended to use physiological tidal volumes from 6–10 mL/kg<sub>PBW</sub> during surgery<sup>2,3</sup> and in intensive care patients without acute respiratory distress syndrome (ARDS)<sup>4,5</sup>, and from 4–8 mL/kg<sub>PBW</sub> in the case of patients with ARDS<sup>6,7</sup>.

To determine the minute ventilation, and therefore the respiratory rate (RR) for a given tidal volume ( $V_T$ ), we use the Predicted Body Weight (PBW). The initial minute ventilation is first determined by the **patient category** (100 mL/kg<sub>PBW</sub> for patients undergoing scheduled surgery and at least 150 mL/kg<sub>PBW</sub> for intensive care patients), and thereafter adjusted according to their body mass index (BMI) and **body temperature** as described below.

## Actual weight

The actual weight is used to calculate the body mass index (BMI) in kg/m<sup>2</sup>. BMI can help to gently adjust the initial ventilation settings and the positive end expiratory pressure (PEEP) level.

## Initial ventilation

When Radford's nomogram was published in the 1950s<sup>8</sup>, obesity prevalence was close to 10%<sup>9</sup>, it is now around 30–40% depending on the country (<https://www.cdc.gov/obesity/data/adult.html>). Baseline metabolism can be slightly increased in the case of obesity and calculation of the required minute ventilation should be slightly adjusted according to the actual weight<sup>10</sup>. Actual weight does not appear to be better than the predicted body weight to predict baseline metabolism in obese patients<sup>11</sup>.

In obese patients, the most recent studies show that ventilator requirements are slightly increased compared to non-obese patients during surgery<sup>10,12</sup>.

In the PROBESE study<sup>12</sup>, which evaluated two levels of PEEP during surgery in patients with BMI >35 kg/m<sup>2</sup>, the mean initial minute ventilation (101 mL/kg<sub>PBW</sub>) was comparable to that in non-obese patients under mechanical ventilation in the operating room (see figure below). At the end of surgery, the mean minute ventilation in obese patients was 124 mL/kg<sub>PBW</sub>.

In an observational study that evaluated ventilatory settings in more than 2000 obese patients, the mean minute ventilation was also in the same range (106 mL/kg<sub>PBW</sub>)<sup>10</sup>. However, the mean minute ventilation increased with BMI. In patients with a BMI of 30–35, 35–40 and >40, the mean minute ventilation was 103, 107, and 118 mL/kg<sub>PBW</sub> respectively.

We therefore use the Predicted Body Weight to estimate the initial minute ventilation with a slight adjustment according to the BMI, and considering that arterial blood gas evaluation is required 15 to 30 minutes after initiation of mechanical ventilation and after each change of the ventilatory settings.

## PEEP setting

Morbid obesity (BMI >40 kg/m<sup>2</sup>) is associated with increased risk of atelectasis<sup>13</sup> and respiratory complications<sup>10</sup>. PEEP can improve respiratory mechanics in obese patients<sup>14</sup>. The use of higher perioperative PEEP levels may reduce hypoxemia during the post-extubation period in patients with morbid obesity<sup>12</sup>.

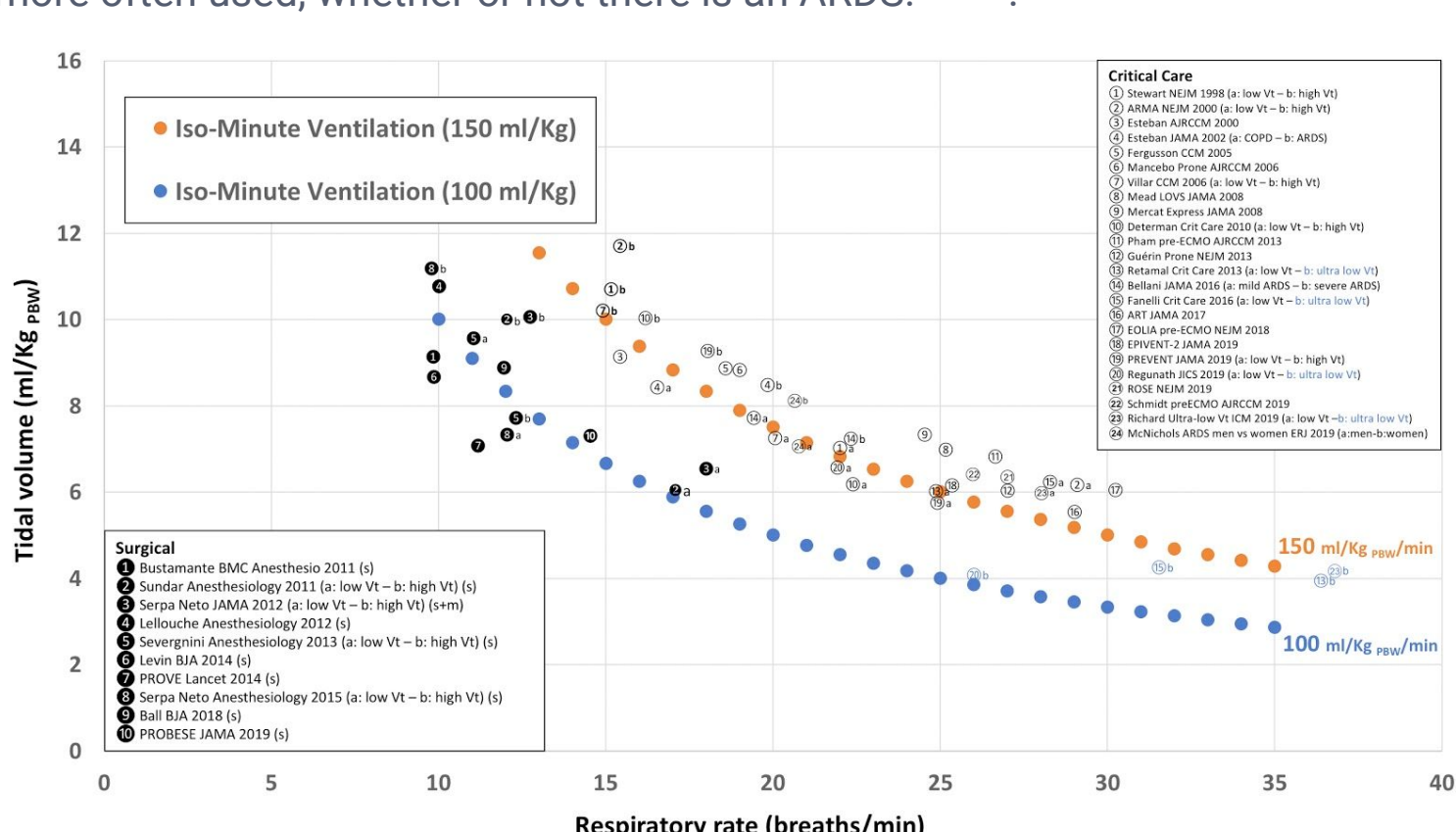
## Body temperature

Body temperature can be used to adjust the initial minute ventilation. It is recommended to target a minute ventilation of 100 mL/kg<sub>PBW</sub><sup>8,15,16</sup> and increase by 10% for each degree Celsius above 37 °C and decrease by 10% for each degree Celsius below 37 °C. This is an historical estimation<sup>8,16</sup>. In the case of hypothermia, the baseline metabolism is reduced and CO<sub>2</sub> production is lowered, in case of hyperthermia, the opposite applies<sup>17</sup>. It is also recommended to increase minute ventilation by 20% in the presence or suspicion of acidosis. This is taken into account in our equations with the assumption that the majority of intensive care patients have acidosis, systemic inflammation, and increased metabolism.

## Patient category

The ventilator settings in surgical and intensive care units target different patient categories with different CO<sub>2</sub> production (due to metabolism, temperature, presence of sepsis, amines, etc.). Our recommendations are based on recent literature that clearly differentiates between surgical patients (scheduled surgeries) and intensive care patients in whom protective ventilation is increasingly used with tidal volumes often close to 6 mL/kg<sub>PBW</sub> and with RR often greater than 25/min, sometimes exceeding 30/min. In patients undergoing mechanical ventilation for scheduled surgery, the  $V_T$  is often between 8 and 10 mL/kg<sub>PBW</sub> and the RR often below 15/minute.

The **100 mL/kg<sub>PBW</sub>** minute ventilation recommended more than 60 years ago by Radford still seems to be used in "elective surgical" patients<sup>10,12,18-25</sup>. For "emergency surgery" patients, the minute ventilation will be **125 mL/kg<sub>PIT</sub>**. In contrast, for intensive care patients, a ventilation of at least **150 mL/kg<sub>PBW</sub>** is more often used, whether or not there is an ARDS.<sup>4,26-44</sup>



**Figure:** Ventilation data ( $V_T$  in mL/kg<sub>PBW</sub> and RR) used in surgical studies conducted in the operating room (black circles) and ICUs (white circles) in chronological order are shown. The iso-minute ventilation lines for 100 mL/kg<sub>PBW</sub> and 150 mL/kg<sub>PBW</sub> are represented respectively in orange and blue. Minute ventilation is usually around 100 mL/kg in patients under mechanical ventilation during surgery<sup>10,12,18-25</sup> and around 150 mL/kg or more in ICU patients<sup>4,26-44</sup>. Minute ventilation is even higher (up to 180 mL/kg<sub>PBW</sub> or more) in several studies<sup>27,33,34,36,41,45</sup>. The main outlier is the meta-analysis of Serpa Neta (3a and 3b black) which combines studies<sup>20</sup>. Some studies, for which all ventilatory data were not available, could not be represented on this graph<sup>46-51</sup>.

With the widespread use of protective ventilation today, with lower  $V_T$  and RRs often above 25/minute in recent years<sup>52</sup>, the question of dead space ( $V_D$ ) is all the more relevant. Indeed, with a constant  $V_D$ , if the  $V_T$  decreases, the  $V_D/V_T$  increases. In addition, instead of being present 10 or 15 times per minute (at low RRs), the dead space gas is re- "inhaled" 25 to 30 times per minute!

## References

1. Hepper NG, Fowler WS, Helmholz HF, Jr. Relationship of height to lung volume in healthy men. *Dis Chest* 1960;37:314-20.
2. Imber DA, Pirrone M, Zhang C, Fisher DF, Kacmarek RM, Berra L. Respiratory Management of Perioperative Obese Patients. *Respiratory care* 2016;61:1681-92.
3. Pelosi P, Gregoretti C. Perioperative management of obese patients. *Best practice & research Clinical anaesthesiology* 2010;24:211-25.
4. Writing Group for the PI, Simonis FD, Serpa Neto A, et al. Effect of a Low vs Intermediate Tidal Volume Strategy on Ventilator-Free Days in Intensive Care Unit Patients Without ARDS: A Randomized Clinical Trial. *JAMA* 2018;320:1872-80.
5. Rubenfeld GD, Shankar-Hari M. Lessons From ARDS for Non-ARDS Research: Remembrance of Trials Past. *JAMA* 2018;320:1863-5.

6. Fan E, Del Sorbo L, Goligher EC, et al. An Official American Thoracic Society/European Society of Intensive Care Medicine/Society of Critical Care Medicine Clinical Practice Guideline: Mechanical Ventilation in Adult Patients with Acute Respiratory Distress Syndrome. *American journal of respiratory and critical care medicine* 2017;195:1253-63.
7. Papazian L, Aubron C, Brochard L, et al. Formal guidelines: management of acute respiratory distress syndrome. *Annals of intensive care* 2019;9:69.
8. Radford EP, Jr., Ferris BG, Jr., Kriete BC. Clinical use of a nomogram to estimate proper ventilation during artificial respiration. *N Engl J Med* 1954;251:877-84.
9. Ogden CL, Fryar CD, Carroll MD, Flegal KM. Mean body weight, height, and body mass index, United States 1960-2002. *Adv Data* 2004:1-17.
10. Ball L, Hemmes SNT, Serpa Neto A, et al. Intraoperative ventilation settings and their associations with postoperative pulmonary complications in obese patients. *British journal of anaesthesia* 2018;121:899-908.
11. Frankenfield DC, Ashcraft CM, Galvan DA. Prediction of resting metabolic rate in critically ill patients at the extremes of body mass index. *JPEN Journal of parenteral and enteral nutrition* 2013;37:361-7.
12. Writing Committee for the PCGotPVNftCTNotESoA, Bluth T, Serpa Neto A, Schultz MJ, Pelosi P, Gama de Abreu M. Effect of Intraoperative High Positive End-Expiratory Pressure (PEEP) With Recruitment Maneuvers vs Low PEEP on Postoperative Pulmonary Complications in Obese Patients: A Randomized Clinical Trial. *JAMA* 2019.
13. Eichenberger A, Proietti S, Wicky S, et al. Morbid obesity and postoperative pulmonary atelectasis: an underestimated problem. *Anesth Analg* 2002;95:1788-92, table of contents.
14. Pelosi P, Ravagnan I, Giurati G, et al. Positive end-expiratory pressure improves respiratory function in obese but not in normal subjects during anesthesia and paralysis. *Anesthesiology* 1999;91:1221-31.
15. Nunn JF, Campbell EJ, Peckett BW. Anatomical subdivisions of the volume of respiratory dead space and effect of position of the jaw. *J Appl Physiol* 1959;14:174-6.
16. Kenny S. The Adelaide ventilation guide. *British journal of anaesthesia* 1967;39:21-3.
17. Prakash O, Jonson B, Bos E, Meij S, Hugenholtz PG, Hekman W. Cardiorespiratory and metabolic effects of profound hypothermia. *Critical care medicine* 1978;6:340-6.
18. Fernandez-Bustamante A, Wood CL, Tran ZV, Moine P. Intraoperative ventilation: incidence and risk factors for receiving large tidal volumes during general anesthesia. *BMC Anesthesiol* 2011;11:22.
19. Sundar S, Novack V, Jervis K, et al. Influence of low tidal volume ventilation on time to extubation in cardiac surgical patients. *Anesthesiology* 2011;114:1102-10.
20. Serpa Neto A, Cardoso SO, Manetta JA, et al. Association between use of lung-protective ventilation with lower tidal volumes and clinical outcomes among patients without acute respiratory distress syndrome: a meta-analysis. *JAMA* 2012;308:1651-9.
21. Lellouche F, Dionne S, Simard S, Bussieres J, Dagenais F. High tidal volumes in mechanically ventilated patients increase organ dysfunction after cardiac surgery. *Anesthesiology* 2012;116:1072-82.
22. Severgnini P, Selmo G, Lanza C, et al. Protective mechanical ventilation during general anesthesia for open abdominal surgery improves postoperative pulmonary function. *Anesthesiology* 2013;118:1307-21.
23. Levin MA, McCormick PJ, Lin HM, Hosseini L, Fischer GW. Low intraoperative tidal volume ventilation with minimal PEEP is associated with increased mortality. *British journal of anaesthesia* 2014;113:97-108.
24. Anaesthesiology PNiftCTNotESo, Hemmes SN, Gama de Abreu M, Pelosi P, Schultz MJ. High versus low positive end-expiratory pressure during general anaesthesia for open abdominal surgery (PROVHILO trial): a multicentre randomised controlled trial. *Lancet* 2014;384:495-503.
25. Serpa Neto A, Hemmes SN, Barbas CS, et al. Protective versus Conventional Ventilation for Surgery: A Systematic Review and Individual Patient Data Meta-analysis. *Anesthesiology* 2015;123:66-78.
26. Stewart TE, Meade MO, Cook DJ, et al. Evaluation of a ventilation strategy to prevent barotrauma in patients at high risk for acute respiratory distress syndrome. Pressure- and Volume-Limited Ventilation Strategy Group. *N Engl J Med* 1998;338:355-61.
27. Ventilation with lower tidal volumes as compared with traditional tidal volumes for acute lung injury and the acute respiratory distress syndrome. The Acute Respiratory Distress Syndrome Network. *N Engl J Med* 2000;342:1301-8.
28. Esteban A, Anzueto A, Alia I, et al. How is mechanical ventilation employed in the intensive care unit? An international utilization review. *American journal of respiratory and critical care medicine* 2000;161:1450-8.
29. Esteban A, Anzueto A, Frutos F, et al. Characteristics and outcomes in adult patients receiving mechanical ventilation: a 28-day international study. *JAMA* 2002;287:345-55.
30. Ferguson ND, Frutos-Vivar F, Esteban A, et al. Airway pressures, tidal volumes, and mortality in patients with acute respiratory distress syndrome. *Critical care medicine* 2005;33:21-30.
31. Mancebo J, Fernandez R, Blanch L, et al. A multicenter trial of prolonged prone ventilation in severe acute respiratory distress syndrome. *American journal of respiratory and critical care medicine* 2006;173:1233-9.
32. Villar J, Kacmarek RM, Perez-Mendez L, Aguirre-Jaime A. A high positive end-expiratory pressure, low tidal volume ventilatory strategy improves outcome in persistent acute respiratory distress syndrome: a randomized, controlled trial. *Critical care medicine* 2006;34:1311-8.
33. Meade MO, Cook DJ, Guyatt GH, et al. Ventilation strategy using low tidal volumes, recruitment maneuvers, and high positive end-expiratory pressure for acute lung injury and acute respiratory distress syndrome: a randomized controlled trial. *Jama* 2008;299:637-45.
34. Mercat A, Richard JC, Vielle B, et al. Positive end-expiratory pressure setting in adults with acute lung injury and acute respiratory distress syndrome: a randomized controlled trial. *Jama* 2008;299:646-55.
35. Determann RM, Royakkers A, Wolthuis EK, et al. Ventilation with lower tidal volumes as compared with conventional tidal volumes for patients without acute lung injury: a preventive randomized controlled trial. *Critical care* 2010;14:R1.
36. Pham T, Combes A, Roze H, et al. Extracorporeal membrane oxygenation for pandemic influenza A(H1N1)-induced acute respiratory distress syndrome: a cohort study and propensity-matched analysis. *American journal of respiratory and critical care medicine* 2013;187:276-85.
37. Guerin C, Reignier J, Richard JC, et al. Prone positioning in severe acute respiratory distress syndrome. *N Engl J Med* 2013;368:2159-68.
38. Bellani G, Laffey JG, Pham T, et al. Epidemiology, Patterns of Care, and Mortality for Patients With Acute Respiratory Distress Syndrome in Intensive Care Units in 50 Countries. *JAMA* 2016;315:788-800.
39. Fanelli V, Ranieri MV, Mancebo J, et al. Feasibility and safety of low-flow extracorporeal carbon dioxide removal to facilitate ultra-protective ventilation in patients with moderate acute respiratory distress syndrome. *Critical care* 2016;20:36.
40. Writing Group for the Alveolar Recruitment for Acute Respiratory Distress Syndrome Trial I, Cavalcanti AB, Suzumura EA, et al. Effect of Lung Recruitment and Titrated Positive End-Expiratory Pressure (PEEP) vs Low PEEP on Mortality in Patients With Acute Respiratory Distress Syndrome: A Randomized Clinical Trial. *JAMA* 2017;318:1335-45.
41. Combes A, Hajage D, Capellier G, et al. Extracorporeal Membrane Oxygenation for Severe Acute Respiratory Distress Syndrome. *N Engl J Med* 2018;378:1965-75.
42. Beitler JR, Sarge T, Banner-Goodspeed VM, et al. Effect of Titrating Positive End-Expiratory Pressure (PEEP) With an Esophageal Pressure-Guided Strategy vs an Empirical High PEEP-Fio2 Strategy on Death and Days Free From Mechanical Ventilation Among Patients With Acute Respiratory Distress Syndrome: A Randomized Clinical Trial. *JAMA* 2019.
43. Regunath H, Moulton N, Woolery D, Alnijoumi M, Whitacre T, Collins J. Ultra-protective mechanical ventilation without extra-corporeal carbon dioxide removal for acute respiratory distress syndrome. *J Intensive Care Soc* 2019;20:40-5.
44. National Heart L, Blood Institute PCTN, Moss M, et al. Early Neuromuscular Blockade in the Acute Respiratory Distress Syndrome. *N Engl J Med* 2019;380:1997-2008.
45. Futier E, Constantin JM, Paugam-Burtz C, et al. A trial of intraoperative low-tidal-volume ventilation in abdominal surgery. *N Engl J Med* 2013;369:428-37.
46. Papazian L, Forel JM, Gacouin A, et al. Neuromuscular blockers in early acute respiratory distress syndrome. *N Engl J Med* 2010;363:1107-16.
47. Brochard L, Roudot-Thoraval F, Roupie E, et al. Tidal volume reduction for prevention of ventilator-induced lung injury in acute respiratory distress syndrome. The Multicenter Trial Group on Tidal Volume reduction in ARDS. *American journal of respiratory and critical care medicine* 1998;158:1831-8.
48. Ferguson ND, Cook DJ, Guyatt GH, et al. High-frequency oscillation in early acute respiratory distress syndrome. *N Engl J Med* 2013;368:795-805.
49. Peek GJ, Mugford M, Tiruvoipati R, et al. Efficacy and economic assessment of conventional ventilatory support versus extracorporeal membrane oxygenation for severe adult respiratory failure (CESAR): a multicentre randomised controlled trial. *Lancet* 2009;374:1351-63.
50. Taccone P, Pesenti A, Latini R, et al. Prone positioning in patients with moderate and severe acute respiratory distress syndrome: a randomized controlled trial. *JAMA* 2009;302:1977-84.
51. Brower RG, Lanken PN, MacIntyre N, et al. Higher versus lower positive end-expiratory pressures in patients with the acute respiratory distress syndrome. *N Engl J Med* 2004;351:327-36.
52. Schaefer MS, Serpa Neto A, Pelosi P, et al. Temporal Changes in Ventilator Settings in Patients With Uninjured Lungs: A Systematic Review. *Anesth Analg* 2019;129:129-40.